

# Australian Expatriate Superannuation Fund

## UK Pension Transfer Request & Declaration


Please complete this page for each pension scheme.

Scheme information for the Plan you want to Transfer:

Scheme  
Name  
Scheme  
Address

Plan  
Reference  
Approximate  
Value

National  
Insurance  
Number

 Please note that your National Insurance Number (NI/NINO) is a United Kingdom administration number used for the UK tax system. Your National Number format shall be two prefix letters, six numbers and a suffix letter.  
An example of your NI Number is **QQ123456C**.

This Declaration must be completed if you are making a UK Pension Transfer and provide authorisation for Australian Expatriate Superannuation Fund [AESF] to obtain information in relation to your existing plan in order to assist in the transfer of the existing plan into AESF.

I [full name]

Of  
[address]

Date of birth

Acknowledge and accept that:

1. Any withdrawals or transfers I make may result in a UK tax penalty of which I am solely liable for if the amount includes UK Pension Transfer Money transferred:
  - before 6 April 2017 and I have not been a UK tax non-resident for five clear and complete UK tax years [the UK tax year runs from 6 April to 5 April]; or

- on or after 6 April 2017 and I have not been a UK tax non-resident for ten clear and complete UK tax years and the transfer amount has not been invested in a ROPS for five clear and complete UK tax years.

I am aware that other requirements may be imposed by the HMRC or the United Kingdom time in the future which may affect my funds.

2. I acknowledge that I may be liable to pay a Post Overseas Transfer Charge if my circumstances change within five full UK tax years following receipt of the transfer amount from the UK Pension Fund. I confirm I indemnify the Manager from any such charge and further confirm that I will notify the Manager in writing within 60 days, if I change my country of residence within those five years. The amount of the charge may be up to 25% of my UK Pension Transfer Money. I acknowledge that the Manager may be required to deduct the charge from my investment in AESF and pay it to Her Majesty's Revenue and Customs [HMRC] on my behalf.
3. By transferring UK Pension Fund money into AESF I may be liable for tax in Australia.
4. I will forfeit all protection associated with any Protected Rights funds that are transferred.
5. I acknowledge that the Supervisor and the Manager take no responsibility for any foreign currency transactions.
6. I may be liable to pay an unauthorised payment charge to HMRC if I make any unauthorised withdrawals.
7. I understand that, following the application of the transfer value, neither I nor any dependents, will have any further entitlement or claim under the transferring plan.

The Manager has not provided me with tax advice or advice in respect of transferring UK Pension Transfer Money to AESF and I understand that the Manager and the Supervisor take no responsibility for any tax or other consequences of any action I may take in regard to this UK Pension Transfer.

Applicant's  
Signature

Date  
[dd/mm/yyyy]