

Australian Expatriate Superannuation Fund

Ad-Hoc Adviser Fee Payment Request Form

Form **15**

The Trustee

Will only accept this form if it is correctly and fully completed. It must also be provided in conjunction with the extract from the SOA which shows the fee quotation and client consent.

Any questions, call
Member Services 1300 323 489

This form may be used in the event that the initial advice fee of 3% does not cover the total advice cost in relation to your UK Pension transfer advice to AESF.

In some cases, where this form has been completed, the Trustee will review the ad-hoc amount that is to be paid to the adviser and make a decision on a discretionary basis. The form can be signed via DocuSign however a member of our customer services team will contact the member directly to verify this payment. This advice fee cannot be paid in the event that the member's account balance is less than \$10,000. A maximum of \$5,500 per annum applies

Issued by the Trustee
Diversa Trustees Limited
ABN 49 006 421 638
AFSL 235153
RSE Licence L0000635

1. Member details

Member number/s

Full name

Date of birth [dd/mm/yy]

Email address

2. Ad-Hoc Fee Amount

Financial Adviser Name

Financial Adviser Company
Name

Full Name of the Australian
Financial Services License
Holder under which the
adviser operates

AFS Representative No. [if
applicable]

Ad-Hoc Fee Amount

plus GST

3. Member Declaration

I acknowledge that the adviser fee laid out in this form, I have negotiated with my financial adviser.

I fully authorize my financial adviser noted on this form with servicing rights to my fund.

I fully understand that any ongoing fee arrangements are to be renewed and instructed annually to the Australian Expatriate Superannuation Fund.

The Trustee reserves the right to contact either you or your financial adviser to confirm that:

- You authorised the deductions to be made from your AESF account.
- The deductions are consistent with the authorisations and disclosures provided to you by your adviser.
- You've been provided the services for which the fees relate to.
- The adviser fees deducted from your super account only relate to advice and or services relating solely to your superannuation or insurance within superannuation.
- The fees deducted from your account for the advice services are in your best interest.
- You authorise the Trustee to view the Statement of Advice and any other documentation issued to you by your Adviser, upon request.

Signature of Member

Date [dd/mm/yyyy]

4. Adviser Declaration

I am authorised to sign on behalf of my dealer group and that I am authorised to provide financial product advice in relation to nominated products to the investor/member's account under an Australian Financial Services Licence.

- the fees nominated in this form have been agreed between my client (the member) and me;
- the services for which the fees specified in this form are being charged are consistent with the Statement of Advice and/or
- the Fee Disclosure Statement that has been provided by me to my client (the member);
- if the fee is charged to my client's AESF account, that the fee only relates to superannuation and insurance obtained
- through the AESF account in compliance with the sole purpose test under section 62 of the Superannuation Industry (Supervision) Act 1993 (Cth);
- the details I have provided are true and correct.
- The Statement of Advice (SOA) and any other form of documentation for my client to be provided to the Trustee upon request

Signature of Financial Adviser

Date [dd/mm/yyyy]

Full name of Financial Adviser

When complete

email or post this form:

australia@ivcm.com

IVCM (Aust) Pty Ltd

201 Sussex Street, Tower 2
Level 20, Darling Park,
Sydney, NSW, 2000