

# Key Management Personnel Information

November 2023

# Summary

## Directors

Name	Position	Period
Mike Terlet (Retired as Chair on 28 June 2023)	Non-Executive Director, Chair	18 February 2021 – 30 June 2023
Vincent Plant (Appointed as Chair on 28 June 2023)	Non-Executive Director, Chair	4 May 2017 - Present
Fiona McNabb	Non-Executive Director	28 June 2019 - Present
Ron Beard	Non-Executive Director	18 February 2021 - Present
Sue Thomas	Non-Executive Director	15 August 2022 - Present
Mark Walter	Non-Executive Director	26 June 2023 - Present
Andrew Peterson	Executive Director Chief Executive Officer	28 June 2019 – Present 1 July 2020 - Present

## Executives

Name	Position	Period
Rachel Griffith	Company Secretary General Manager Investment Oversight	17 July 2021 – Present 28 June 2019 - Present
Johanna Hartnett	General Manager, Office of the Superannuation Trustee	4 February 2022 - Present
Joshua Haymes	General Manager, Strategy and Clients Senior Account Executive	1 September 2018 - Present
Daniel Strachan	General Manager, Oversight and Insurance	1 October 2020

# Information

## Michael John Terlet AO (Retired as Chair on 28 June 2023)

### **Non-Executive Director, Chair**

Michael Terlet is Chair of the Diversa Trustees Limited and CCSL Ltd Boards and is a member of the Remuneration and Nominations Committee. Michael was responsible for the formation and growth of the largest private sector defence and aerospace company in Australia from 1978 to 1992. He retired as managing director and has undertaken a number of directorships in both public and private companies since that time. He brings a wealth of experience as a director and chairman and extensive knowledge in international trading and investment. He was made an officer of the General Order of Australia for contributions to industry and export in 1991.

Michael has served as President of the South Australian Employers Chamber of Commerce and Industry, the Engineering Employers Association and is currently Chairman and Director of a number of public and private companies.

Michael is a Fellow of the Institute of Managers and Leaders Australia and New Zealand (FIML) and Australian Institute of Company Directors (FAICD).

## Vincent Plant (Appointed as Chair on 28 June 2023)

### **Non-Executive Director, Chair**

Vin Plant is a Sydney based financial services specialist and was appointed to the Board on 4 May 2017. He also chairs the Investment Committee and is a member of the Risk Committee.

He served as a non-executive director on the PayPal Australia Board from 2009 to 2016 and was Chairman of PayPal's Audit & Risk Committee between 2010 and 2016. A former investment and consumer banker, he was a Partner at HSW Capital from 2009 to 2010 and held various senior positions at Standard Chartered Bank from 1991 to 2008.

Vin is a fellow of FINSIA and a member of the Institute of Company Directors. He holds a Bachelor of Business Administration from George Washington University and a Master of Business Administration from Columbia University.

## Fiona McNabb

### Non-Executive Director

Fiona McNabb is an experienced non-executive director with over 25 years' corporate experience in actuarial consulting, financial markets, derivatives pricing and trading, treasury, investments, risk management, compliance, and governance.

Fiona joined the Diversa Trustees board in June 2019 and is Chair of the Risk Committee. She is also sits on the Audit and Compliance Committee, Investment Committee and Member Best Interests Committee. She is also a non-executive director of CCSL Limited. She has experience as a director in a wide range of industries, including agriculture, forestry, health, education, sport, superannuation and investments.

Fiona is also a Senior Industry Fellow in the Department of Banking & Finance at Monash University where she currently lectures in investment banking and ethics. She holds a Bachelor of Science (Mathematics) degree, is a Fellow of the Australian Institute of Company Directors, a Senior Fellow of the Financial Services Institute of Australasia, a Graduate of the Australian Institute of Superannuation Trustees and is RG146 compliant for superannuation.

Fiona is passionate about women in leadership, finance and education.

## Ronald Peter Beard

### Non-Executive Director

Ronald is a Director of Diversa Trustees Limited and CCSL Ltd. He is also a member of the Risk Committee, Remuneration and Nominations Committee and is Chair of the Member Best Interests Committee and the Audit and Compliance Committee.

Ronald has in excess of 30 years' experience in financial services and has held consulting, executive and senior management positions with leading wealth management institutions in Australia. His experience includes operations management, project management and governance of super funds, investment platforms and employee benefit programs.

Ronald holds a Bachelor of Business (Insurance), Diploma of Financial Services (Financial Planning). He is a Trustee Fellow of The Association of Superannuation Funds of Australia (TFASFA) and Member of the Australian Institute of Company Directors (MAICD).

## Sue Thomas

### Non-Executive Director

Sue has had a distinguished career in law, corporate finance, IT and financial services. She is an experienced company director and audit and risk committee chair.

During the 1990s, Sue established and grew FlexiPlan Australia (subsequently MasterKey Custom), a successful investment administration platform sold later to MLC. Sourcing strategic partners, growing administered funds, Sue's achievements saw her acknowledged as an industry leader by the financial planning community and was at the forefront of the FinTech market.

Sue currently serves on a number of listed boards including; Nuix Limited; Temple and Webster Limited; Cash Converters Limited; Maggie Beer Holdings Limited; and Fitzroy River Holdings Limited. Sue brings strong commercial, technology, compliance and regulatory skills and background to her board positions. Sue is also a Senior Executive Coach at Foresight Global Coaching, working with multinational c-suite executives.

Sue is a non-executive director on the Diversa Trustees Limited and CCSL Ltd Boards. She also chairs the Remuneration and Nominations Committee and is a member of the Investment Committee and Audit and Compliance Committee.

## Mark Walter

### Non-Executive Director

Mark Walter is an experienced commercial lawyer and executive with more than 30 years of experience in the legal profession as a lawyer, director and executive. Mark has extensive experience in consumer and regulatory law, financial services, and commercial litigation in all jurisdictions. Mark was a Partner of Australia's leading Plaintiff law firm for over 20 years before founding his own Commercial Law firm in 2021. Mark is known for being strategic, practical and client focussed.

Mark holds a Bachelor of Commerce and a Bachelor of Laws from the University of Melbourne and is a graduate of the Australian Institute of Company Directors (AICD).

Marks joined the Boards of Diversa Trustees Limited and CCSL Limited in June 2023. He is a member of the Audit and Compliance Committee and the Risk and Members Best Interests Committee.

## Andrew Peterson

### **Executive Director, CEO**

Andrew Peterson is a senior executive with more than 25 years' experience in the financial services industry, with a particular focus on asset management. Andrew has held roles in executive leadership, sales, research, compliance, and product development roles across the retail and wholesale sectors at organisations such as Required Financial Services, Aviva Investors/Antares Equities, Timbercorp and IOOF. Andrew started his career as a tax lawyer with Arthur Anderson, Minter Ellison and State Street Limited and has forged a reputation as a commercially focused, results driven, strategic executive.

Andrew joined the Diversa Trustees Board in June 2019 and is a member of the Investment Committee and Member Best Interests Committee. Andrew is also an executive director of CCSL Limited.

Andrew holds a Bachelor of Economics/Bachelor of Law degree from Monash University.

## Rachel Griffith

### **Company Secretary and General Manager Investment Oversight**

Rachel has had over 20 years' experience in the financial services industry across investment banking, funds management and financial advice. She has had a focus in investment research and compliance. She has held senior leadership positions as well as being the company secretary of a listed company.

Rachel is also the Company Secretary for Diversa Trustees Ltd, CCSL Limited, Tidswell Financial Services Ltd and Responsible Entity Partners Limited.

Rachel holds a Bachelor of Economics, a Master of Economics, and a Graduate Diploma of Applied Corporate Governance. She is Fellow of FINSIA.

## Joshua Haymes

### **Responsible Manager - General Manager, Strategy and Clients**

Josh has had almost 20 years' experience in the superannuation industry and has been with the Trustee since 2007. Josh has held a leadership role since 2018, leading a team of Account Executives focused on driving better member outcomes for members of high growth platform clients.

Josh is highly experienced in superannuation wrap platforms, product management, client service management, fund administration and investment governance.

Josh holds a Bachelor of Commerce/Arts Marketing and Psychology degree from Deakin University.

## Daniel Strachan

### **General Manager, Oversight and Insurance**

Daniel has over 20 years' experience in the superannuation industry and has been with the Trustee since 2019. Daniel currently leads the Oversight and Insurance team which is responsible for Incident, Complaints and Claims Management as well oversight of the Trustees outsourced service providers.

Daniel is highly experienced in superannuation fund administration, complex corporate superannuation funds, and managing operations teams.

Daniel holds a Bachelor of Commerce degree from Monash University and an ASFA Diploma of Superannuation.

## Johanna Hartnett

### **General Manager – Office of the Superannuation Trustee**

With a career of over 20 years dedicated to financial services, Johanna has worked across the wealth management, banking and payments industries. A specialist in governance, risk and compliance, Johanna spent several years working for the prudential regulator and has proven experience in innovating and implementing strong risk and control frameworks across organisations.

Results-driven and collaborative, Johanna has proven experience working with Boards and Senior Management to ensure the delivery of key strategic and business outcomes.

Johanna holds Bachelor Degrees from Melbourne University in Commerce and Arts, a Diploma of Financial Services and a Diploma of Management.